

Preliminaries 1 for BCHM

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Outline

- 1 Nakayama-Zariski decomposition
- 2 Basic Facts about Adjunction
- 3 Stable Base Locus
- 4 Types of Models

Nakayama-Zariski decomposition

Definition-Lemma 3.3.1

$X = \text{sm. proj}$, $B = \text{big } \mathbb{R}\text{-divisor}$, $C = \text{prime divisor}$.

$$\sigma_C(B) = \inf\{\text{mult}_C(B') \mid B \sim_{\mathbb{R}} B' \geq 0\}$$

Then, $\sigma_C = \text{cont. function on cone of big divisors}$. In fact, σ_C extends to the boundary as follows:

$$\sigma_C(D) = \lim_{\epsilon \rightarrow 0} \sigma_C(D + \epsilon A) \text{ for } A \text{ ample}$$

For a given D , there are only finitely many C s.t. $\sigma_C(D) > 0$. Set:

$$N_{\sigma}(D) = \sum_C \sigma_C(D) C$$

$$\implies D = N_{\sigma}(D) + (D - N_{\sigma}(D))$$

$$\implies D = \text{'Negative'} + \text{'Positive'}$$

Proposition 3.3.2

‘The positive part has sections’

$X = \text{sm. proj}$, $D = \text{pseudo-eff } \mathbb{R}\text{-divisor}$, $B = \text{any big } \mathbb{R}\text{-divisor}$.

If $P := D - N_{\sigma}(D) \not\equiv 0$, then \exists positive k, β s.t.:

$$h^0(\mathcal{O}_X(\lfloor mP \rfloor + \lfloor kB \rfloor)) > \beta m \text{ for all } m \gg 0$$

In particular:

$$h^0(\mathcal{O}_X(\lfloor mD \rfloor + \lfloor kB \rfloor)) > \beta m \text{ for all } m \gg 0$$

Basic Facts about Adjunction

Definition-Lemma 3.4.1

(X, Δ) log canonical.

S = normal comp of $\lfloor \Delta \rfloor$ with coeff = 1.

Θ = Divisor on S defined by $(K_X + S)|_S = K_S + \Theta$.

① (X, Δ) dlt $\implies (K_S + \Theta)$ dlt.

② (X, Δ) plt $\implies (K_S + \Theta)$ klt.

③ $(X, \Delta = S)$ plt \implies coeff of any D in Θ is of the form $\frac{r-1}{r}$ where r = index of S at μ_D .

④ (X, Δ) plt \implies 'Adjunction behaves well under projective birational maps'.

Let $f : Y \rightarrow X$ projective birational, let Δ_Y, Θ_Y defined by:

$$K_Y + \Delta_Y = f^*(K_X + \Delta), (K_Y + \Delta_Y)|_{\tilde{S}} = K_{\tilde{S}} + \Theta_Y$$

Then we have:

$$(f|_{\tilde{S}})_*(\Theta_Y) = \Theta$$

Stable Base Locus

Notions for \mathbb{R} -divisors

$\pi : X \rightarrow U$ projective morphism of normal varieties, $D = \mathbb{R}$ -divisor on X .

Definition

- ① The **real linear system** associated to D over U is:

$$|D/U|_{\mathbb{R}} := \{C \text{ effective} \mid C \sim_{\mathbb{R}, \pi} D\}$$

- ② The **stable base locus** is:

$$B(D/U) := \bigcap_{C \in |D/U|} \text{Supp}(C)$$

- ③ The **stable fixed divisor** is the divisorial support of $B(D/U)$.

- ④ The **augmented base locus** is:

$$B_+(D/U) := B((D - \epsilon A)/U) \text{ for } \epsilon \ll 1, A \text{ ample}$$

Remark

- ① Agrees with the usual definition when D is a \mathbb{Z} -divisor.

(Idea: Given $x \in X$, need to prove:

\exists \mathbb{R} -divisor $D_{\mathbb{R}} \in B(D/U)_{\mathbb{R}}$ not passing thru $x \implies$

\exists \mathbb{Q} -divisor $D_{\mathbb{Q}} \in B(D/U)_{\mathbb{Q}}$ not passing thru x

We do the following:

- ▶ Look at a suitable subcone $W \subset W\text{Div}_{\mathbb{R}}(X)$ of all $D' \in |D/U|_{\mathbb{R}}$ not passing thru x .
- ▶ W will be generated by finitely many \mathbb{Z} -divisors, so W is a rational polyhedron.
- ▶ W is non-empty since we have $D_{\mathbb{R}} \in W$. Thus W has a \mathbb{Q} -point i.e.
 \exists a \mathbb{Q} -divisor $D_{\mathbb{Q}} \in B(D/U)_{\mathbb{Q}}$ not passing thru x .

- ② Like in the \mathbb{Q} -divisor case, these are only defined as closed subsets.

Useful Lemma

We're working towards decomposing every divisor as 'Movable + Fixed'.

Lemma 3.5.6

Let $D \geq 0$ be an \mathbb{R} -divisor.

Assume $\exists D' \in |D/U|_{\mathbb{R}}$ which has no common components with D .

Then we can find $D'' \in |D/U|_{\mathbb{R}}$ s.t.:

A multiple of every component of D'' is mobile.

This is saying: If you can move D to avoid the components of D , then you can move D to make every component mobile.

Every Divisor = Movable + Fixed

Proposition 3.5.4

Say $D \geq 0$. Then $\exists \mathbb{R}$ -divisors $M, F \geq 0$ s.t.:

- 1 $D \sim_{\mathbb{R}, \pi} M + F$.
- 2 $\text{Supp}(F) \subset B(D/U)$.
- 3 If B is a component of M , then some multiple of B is mobile.

Thus, ' $D = \text{Movable} + \text{Fixed}$ '.

Proof

Write $D = M + F$ where:

- F is contained in $B(D/U)$.
- No component of M is contained in $B(D/U)$.

Call a prime divisor **bad** if no multiple is mobile.

Proof of Proposition

Proof cont.

We prove by induction on the number of bad components of M .

- Let B be a bad component of M . We will find $D' \in |D/U|$ s.t.
 - ▶ Bad components of $M' \subset$ Bad components of M .
 - ▶ B is no longer a component of D' .
- $B \not\subset B(D/U)$ and so, $\exists D_1 \in |D/U|_{\mathbb{R}}$ s.t. $B \not\subset D_1$.
- Take $E = D \wedge D_1$ (common components of D and D_1). Then $D - E \sim_{\mathbb{R}} D_1 - E$ are effective and have no common components.
- Lemma \implies Get a $D'' \in |(D - E)/U|$ which does not have bad components.
- \therefore Only bad components of $D'' + E \in |D/U|$ are among E , hence among D . Also, $B \not\subset E$. Done!



Types of Models

Negativity Lemma

Lemma 3.6.2

$f : Y \rightarrow X$ be a proj birational map of normal quasi-proj varieties.
 $D = \mathbb{R}$ -Cartier divisor on Y s.t. $-D$ is f -nef. Write:

$$D = D_{\text{horizontal}} + D_{f\text{-exceptional}}$$

Then:

$$D_{\text{horizontal}} \geq 0 \implies D_{f\text{-exceptional}} \geq 0$$

We keep cutting by hyperplanes in X and reduce to $X = \text{surface}$. There, it follows from the Hodge Index Theorem.

Example

$f : \text{Bl}_0 \mathbb{P}^2 \rightarrow \mathbb{P}^2$. Take $D = E$.

$E^2 = -1$ and $C.E \geq 0$ for every other divisor C .

D -non-positive and D -negative

Definition

$\phi : X \dashrightarrow Y$ proper birational contraction of normal quasi proj. var.
 $D = \mathbb{R}$ -Cartier divisor on X s.t. $D' = \phi_* D$ is also \mathbb{R} -Cartier.

- We say ϕ is **D -non-positive** if for some common resolution $p : W \rightarrow X, q : W \rightarrow Y$, we have:

$$p^* D = q^* D' + E$$

where E is effective, q -exceptional.

- We say ϕ is **D -negative** if additionally $\text{Supp}(E)$ contains the strict transform of the ϕ -exceptional divisors.

By Negativity Lemma, can replace ' E effective, q -exceptional' with ' $p_* E$ effective'.

Models

$\pi : X \rightarrow U$ proj. morphism of normal varieties, $D = \mathbb{R}$ -Cartier on X .
Say that a birational contraction $f : X \dashrightarrow Y$ over U is a **semi-ample model** of D over U if:

- Y is normal and projective over U .
- f is D -non-positive.
- f_*D is semiample over U

Say that a rational map $g : X \dashrightarrow Z$ over U is the **ample model** of D over U if:

- Z is normal and projective over U .
- If $p : W \rightarrow X$ and $q : W \rightarrow Z$ resolve g , then q is a contraction.
- \exists ample divisor H over U on Z s.t. we may write $p^*D \sim_{\mathbb{R}, \pi} q^*H + E$ where $E \geq 0$ and E lies in the stable base locus of p^*D over U .

Facts about semi-ample and ample models

- ① **'Ample models are unique':** If $g_i : X \dashrightarrow X_i$ are two ample models, then \exists an isomorphism $\chi : X_1 \rightarrow X_2$ s.t. $g_2 = \chi \circ g_1$.
 - ▶ Let $g : Y \rightarrow X$ resolve the indeterminacies of g_i and let $f_i = g_i \circ g$ be the induced contractions.
 - ▶ Have: $g^*D = f_i^*H_i + E_i$ and E_i lies in the stable base locus of g^*D .
 - ▶ $E_1 \subset B(g^*D/U) = B((f_2^*H_2 + E_2)/U) \subset E_2$ (as H is ample).
 - ▶ Thus $E_1 \leq E_2$. By symmetry, $E_1 = E_2$.
 - ▶ Thus $f_1^*H_1 \sim_{\mathbb{R},\pi} f_2^*H_2$. Thus, $f_1 = f_2$ as they contract the same curves.
- ② Suppose $g : X \dashrightarrow Z$ is an ample model, then we can write $p^*D \sim_{\mathbb{R},\pi} q^*H + E$ where $E \geq 0$ and if F is any p -exceptional divisor whose centre lies in the indeterminacy locus of g then F is contained in $\text{Supp}(E)$.
 - ▶ This is an application of Negativity Lemma.

- ③ **‘Semiample model exists \implies Ample model exists’:** If $f : X \dashrightarrow Y$ is a semiample model of D over U , then \exists a contraction $h : Y \rightarrow Z$ s.t. $h \circ f : X \dashrightarrow Z$ is an ample model. Additionally, $f_*D \sim_{\mathbb{R}, \pi} h^*H$.
- ▶ Remember f_*D is semiample over U .
 - ▶ Let $h : Y \rightarrow Z$ be the morphism over U defined by f_*D . We can check that this gives us the ample model for X over U .
- ④ **‘In the birational case, ample model is exactly analogous to semiample model’:** If $f : X \dashrightarrow Y$ is a birational contraction over U , then f is the ample model $\iff f$ is a semiample model and f_*D is ample over U .
- ▶ (\iff) By (3), we know we can contract $h : Y \rightarrow Z$ to get an ample model Z . Additionally, $f_*D \sim_{\mathbb{R}, \pi} h^*H$.
 - ▶ But f_*D is ample over U and so h^*H is ample over U .
 - ▶ Pullback under contraction h is ample $\implies h$ doesn’t contract any curves i.e. h is an isomorphism.

More models

$\pi : X \rightarrow U, Y \rightarrow U$ be proj. morphisms of normal, quasi-proj. varieties.

Let $\phi : X \dashrightarrow Y$ be a birational contraction.

Assume $K_X + \Delta$ log canonical. Set $\Gamma = \phi_*\Delta$.

- ❶ Y is a **log terminal model** for $K_X + \Delta$ over U if ϕ is $(K_X + \Delta)$ -negative, $K_Y + \Gamma$ is dlt and nef over U , and Y is \mathbb{Q} -factorial.
(Modern name = Minimal Model)
- ❷ Y is a **weak log canonical model** for $K_X + \Delta$ over U if ϕ is $(K_X + \Delta)$ -non-positive, and $K_Y + \Gamma$ is nef over U .
(Modern = Minimal Model + Flops)
- ❸ Y is the **log canonical model** for $K_X + \Delta$ over U if ϕ is the ample model of $K_X + \Delta$ over U .
(Modern name = Ample Model)
- ❹ Y is a **good minimal model** if $K_Y + \Gamma$ is semiample.

Diagram of different models

($K_{x_{\min}}$ net)

$X \xrightarrow{K_{x-\text{neg}}} X_1 \dashrightarrow \dots \dashrightarrow X_{\min}$ (log terminal model)

(+ $K_{x_{\min}}$ big \Rightarrow Abundance)

(Assuming $R(X, K_X)$ is fin. gen.)

(Semiample model)

X_{amp} (ample model / Canonical model)

$X \xrightarrow{K_{x-\text{neg}}} X_{\min} \dashrightarrow \dots \dashrightarrow X'_{\min}$
 (Flop)
 \nwarrow
 K_X - non-positive

[Weak lc model
 Flop must happen away from MMP loci]

More lemmas about these models

Lemma 3.6.8

‘Weak lc models and lt models are preserved under taking positive multiples of $K_X + \Delta$.’

$\phi : X \dashrightarrow Y$ be a birational contraction over U .

(X, Δ) and (X, Δ') two log pairs. Set $\Gamma := f_*\Delta$ and $\Gamma' := f_*\Delta'$.

$\mu > 0$ positive real number.

- $K_X + \Delta, K_X + \Delta'$ lc. $(K_X + \Delta') \sim_{\mathbb{R}, \pi} \mu(K_X + \Delta)$.

ϕ weak lc model for $K_X + \Delta \iff \phi$ weak lc model for $K_X + \Delta'$

- $K_X + \Delta, K_X + \Delta'$ klt. $(K_X + \Delta') \equiv_{\pi} \mu(K_X + \Delta)$.

ϕ lt model for $K_X + \Delta \iff \phi$ lt model for $K_X + \Delta'$

For example, both conditions say $K_Y + \Gamma$ nef $\iff K_Y + \Gamma'$ nef.

Lemma 3.6.9

‘Composition of It models is a It model.’

$\phi : X \dashrightarrow Y$ It model of (X, Δ) , $\varphi : Y \dashrightarrow Z$ It model of $(Y, \phi_*\Delta)$.

Then:

$$\eta := \varphi \circ \phi \text{ It model of } (X, \Delta)$$

Proof

- Clear that η is a birational contraction, Z is \mathbb{Q} -factorial and $K_Z + \eta_*Z$ is dlt and nef over U .
- Only thing to show is that η is $K_X + \Delta$ -negative.

(cont. in next page)

Proof cont.

Take a common resolution:

$$\begin{array}{ccccc} & & W & & \\ & \swarrow p & \downarrow q & \searrow r & \\ X & \xleftarrow{\phi} & Y & \xrightarrow{\varphi} & Z \end{array}$$

ϕ It model $\implies \phi$ is $K_X + \Delta$ -negative \implies

$$p^*(K_X + \Delta) - q^*(K_Y + \phi_*\Delta) = E_1 \geq 0, \text{ and } \text{Supp}(E_1) = \text{Exc}(\phi).$$

φ It model $\implies \varphi$ is $K_Y + \phi_*\Delta$ -negative \implies

$$q^*(K_Y + \phi_*\Delta) - r^*(K_Z + \eta_*\Delta) = E_2 \geq 0, \text{ and } \text{Supp}(E_2) = \text{Exc}(\varphi).$$

$$\begin{aligned} p^*(K_X + \Delta) - r^*(K_Z + \eta_*\Delta) &= p^*(K_X + \Delta) - q^*(K_Y + \phi_*\Delta) \\ &\quad + q^*(K_Y + \phi_*\Delta) - r^*(K_Z + \eta_*\Delta) \\ &= E_1 + E_2 \geq 0 \end{aligned}$$

And $\text{Supp}(E_1 + E_2) = \text{Exc}(\eta)$. Thus η is $K_X + \Delta$ -negative. □

Lemma 3.6.10

‘Suitable It model of a resolution of X is also a It model of X ’

(X, Δ) klt with Δ big over U .

$f : Z \rightarrow X$ any log resolution of (X, Δ) . Write:

$$K_Z + \Phi_0 = f^*(K_X + \Delta) + E$$

where E, Φ_0 effective and have no common components, $f_*\Phi_0 = \Delta$ and E is exceptional.

Let $F \geq 0$ be any divisor with $\text{Supp}(F) = \text{Exc}(f)$.

If $\eta > 0$ is sufficiently small and $\Phi = \Phi_0 + \eta F$, then $K_Z + \Phi$ is klt and Φ is big over U . Moreover:

$$Z \dashrightarrow W \text{ It model of } K_Z + \Phi \implies X \dashrightarrow W \text{ It model for } K_X + \Delta.$$

Lemma 3.6.11

Fix $\phi : X \dashrightarrow Y$. Then:

$$\begin{aligned} \{\Delta \mid \phi \text{ is a weak lc model for } (X, \Delta)\} \\ = \overline{\{\Delta \mid \phi \text{ is an ample model for } (X, \Delta)\}} \end{aligned}$$

$X = \mathbb{Q}$ -factorial. (X, Δ) dlt. Write $\Delta = S + B$ where $S := \lfloor \Delta \rfloor$.

$\phi : X \dashrightarrow Y$ weak lc model of (X, Δ) .

Suppose that the components of B span $(\mathrm{WDiv}_{\mathbb{R}}(X)/\equiv)$.

Let V be any finite dimensional affine subspace of $\mathrm{WDiv}_{\mathbb{R}}(X)$ which contains the subspace generated by the components of B .

Then:

$$\mathcal{W}_{\phi, S, \pi}(V) = \overline{\mathcal{A}_{\phi, S, \pi}(V)}$$

$$\mathcal{W}_{\phi, S, \pi}(V) := \{\Delta' = S + B' \text{ for } B' \in V, B' \geq 0 \mid K_X + \Delta' \text{ is lc, pseudo-eff,} \\ \phi \text{ is a weak lc model for } (X, \Delta')\}$$

$\mathcal{A}_{\phi, S, \pi}(V)$ is defined similarly for ample models.